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PATENT

IN THE UNITED STATES PATENT AND
TRADEMARK OFFICE

Applicant(s): Dunning, et al. Atty. Docket No.: 40655.5800

Serial No.: TBA Conf. No.: TBA
Filed: August 27, 2001 Group Art Unit: TBA

TITLE: SYSTEM AND METHOD FOR Examiner: TBA
CREATING AND ADMINISTERING
AN INVESTMENT INSTRUMENT

DECLARATION FOR PATENT APPLICATION

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am an original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

**SYSTEM AND METHOD FOR CREATING AND
ADMINISTERING AN INVESTMENT INSTRUMENT**

the specification of which:

[] is attached hereto.

[xx] was filed on August 27, 2001 as Application Serial No. _____
and was amended on _____ if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information to the United States Patent and Trademark Office all information known to me to be material to patentability as defined in 37 C.F.R. §1.56.

I hereby claim foreign priority benefits under 35 U.S.C. § 119(a)-(d) or § 365(b) of any foreign application(s) for patent or inventor's certificate, or § 365(a) of any PCT International application which designated at least one country other than the United States, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or PCT International application having a filing date before that of the application on which priority is claimed.

Full name of first inventor Doug Dunning

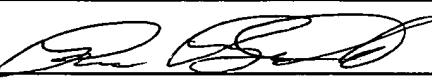
First inventor's signature _____ Date _____

Residence 13526 Flint Lane, Minneapolis, Minnesota 55124

Citizenship U.S.A.

Post Office Address same as residence

Full name of second inventor Ron Bristol

Second inventor's signature  Date 9/10/01

Residence 1729 100th Ave., Hammond, WI 54015

Citizenship U.S.A.

Post Office Address same as residence

Full name of third inventor Georgann G. Burns

Third inventor's signature _____ Date _____

Residence 2318 Taft Street, NE, Minneapolis, Minnesota 55418

Citizenship U.S.A.

Post Office Address same as residence

Full name of fourth inventor Pan Hall

Fourth inventor's signature _____ Date _____

Residence 6109 Rideway Road, Edina, MN 55436

Citizenship U.S.A.

Post Office Address same as residence

Full name of first inventor Doug Dunning

First inventor's signature _____ Date _____

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Third inventor's signature Georgann B. Date 9-10-01

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Post Office Address same as residence

Full name of fourth inventor Pan Hall

Fourth inventor's signature Pan Hall Date 9/10/01

Residence 6109 Rideway Road, Edina, MN 55436

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Post Office Address same as residence

Full name of fifth inventor Douglas Hoover
Fifth inventor's signature Douglas Hoover Date 9-10-2001
Residence 15038 Quamba St. N.E., Ham Lake, MN 55304
Citizenship U.S.A.
Post Office Address same as residence

Full name of sixth inventor Terry McEvoy
Sixth inventor's signature 1706 W. Eldridge Ave., Roseville, MN 55113 Date
Residence
Citizenship U.S.A.
Post Office Address same as residence

Full name of sixth inventor Bruce Kohn
Sixth inventor's signature Date
Residence 4508 Claremore Court, Edina, Minnesota 55435
Citizenship U.S.A.
Post Office Address same as residence

Full name of fifth inventor Douglas Hoover

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Post Office Address **same as residence**

Full name of sixth inventor Bruce Kohn

Sixth inventor's signature Bruce Kohn Date 11-26-01

Residence 4508 Claremore Court, Edina, Minnesota 55435

Citizenship U.S.A.

Post Office Address **same as residence**

Priority Not Claimed

[]

Number	Country	Filing Date
Number	Country	Filing Date

[]

I hereby claim the benefit under 35 U.S.C. § 119(e) of any United States provisional application(s) listed below.

<u>60/228,366</u>	<u>August 28, 2000</u>
Application Number	Filing Date

Application Number	Filing Date
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Application Number	Filing Date
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I hereby claim the benefit under 35 U.S.C. §120 of any United States application(s), or §365(c) of any PCT International application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of 35 U.S.C. §112, I acknowledge the duty to disclose information material to patentability as defined in 37 C.F.R. §1.56 which occurred between the filing date of the prior application and the national or PCT international filing date of this application.

Application Serial No.	Filing Date	Status — Patent, Pending, Abandoned
Application Serial No.	Filing date	Status — Patent, Pending, Abandoned

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

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